

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:

SECRETARY OF THE SENATE

17 FEB 27 PM 2:49

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
- ☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Life Insurance Settlement Association

Travel date(s): January 29 - January 30, 2017

Name of accompanying family member (if any): None

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

| | Transportation Expenses | Lodging Expenses | Meal Expenses | Other Expenses (Amount & Description) |
|---|-------------------------|------------------|---------------|---------------------------------------|
| <input checked="" type="checkbox"/> Good Faith Estimate | \$250.00 | \$220.00 | \$50.00 | None |
| <input checked="" type="checkbox"/> Actual Amount | \$236.00 | \$196.28 | \$41.00 | None |

Expenses for Accompanying Spouse or Dependent Child (if applicable):

| | Transportation Expenses | Lodging Expenses | Meal Expenses | Other Expenses (Amount & Description) |
|--|-------------------------|------------------|---------------|---------------------------------------|
| <input type="checkbox"/> Good Faith Estimate | | | | |
| <input type="checkbox"/> Actual Amount | | | | |

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

7th Annual Life Settlement Institutional Investor Conference

2-27-17
(Date)

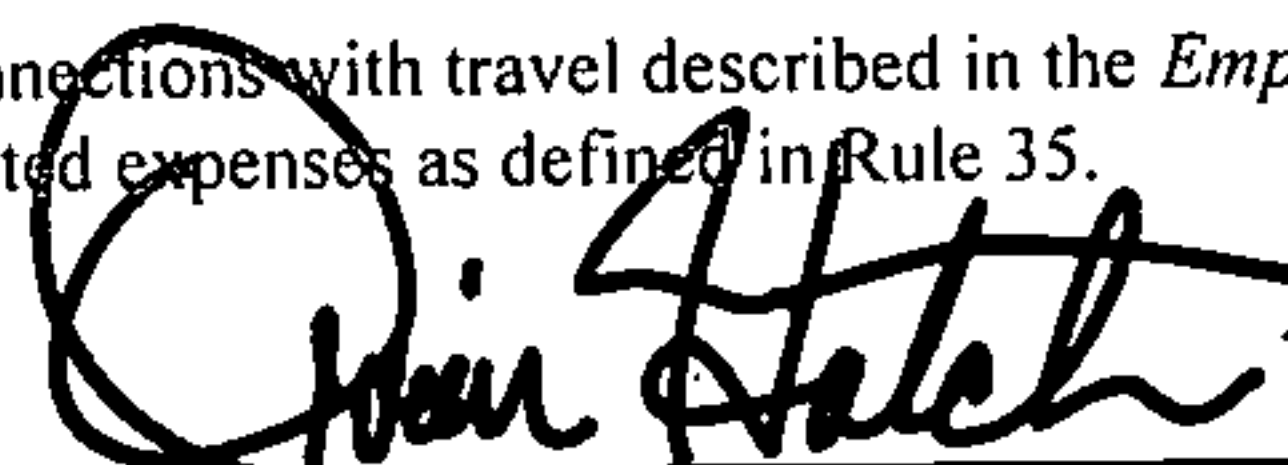
Eugene Preston Rutledge
(Printed name of traveler)


(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

2-27-17
(Date)


(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

SECRETARY OF THE SENATE

17 FEB 27 PM 2:49

Name of Traveler: Eugene Preston RutledgeEmploying Office/Committee: Senate Committee on FinancePrivate Sponsor(s) (list all): Life Insurance Settlement AssociationTravel date(s): January 29 and 30, 2017*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*Destination(s): New York, NY

Explain how this trip is specifically connected to the traveler's official or representational duties:

Mr. Rutledge handles tax issues affecting the life insurance industry and will deliver a presentation related to tax reform and tax issues related to life insurance settlement transactions.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

12-22-2016

(Date)



(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

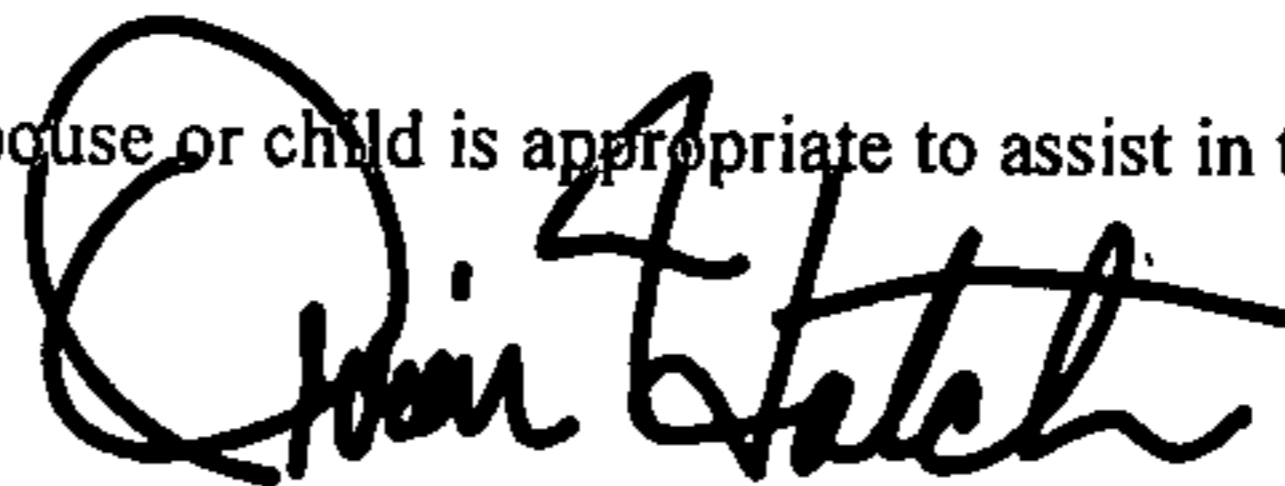
I, Senator Orrin G. Hatch hereby authorize Eugene Preston Rutledge
 (Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

12-22-2016

(Date)



(Signature of Supervising Senator/Officer)



LISA
Life Insurance
Settlement Association

280 West Canton Avenue, TEL: 407.894.3797
Suite 430 FAX: 407.835.0007
Winter Park, Florida 32801 WEB: www.lisa.org

December 21, 2016

Preston Rutledge
Tax and Benefits Counsel
United States Senate Committee on Finance
219 Dirksen Senate Office Building
Washington, DC 20510-6200

Dear Mr. Rutledge:

The Life Insurance Settlement Association (LISA) would be honored if you would accept this invitation to be a keynote speaker at LISA's 7th Annual Life Settlement Institutional Investor Conference being held in New York City on January 30, 2017. The Life Settlement Institutional Investor Conference is a widely-attended event of over 250 professionals representing the financial services and insurance industries.

Recognizing the importance and significance of your work as a staff member of the Senate Committee on Finance, we would like to invite you to speak to our gathering, regarding your views on issues confronting the secondary market for life insurance, retirement security, and recent developments concerning the tax treatment of certain life insurance contract transactions.

If you were to kindly accept this invitation, we will work with your staff to accommodate your schedule and any other travel needs.

The Association very much appreciates your consideration of our invitation.

Again, we would be honored by your presence.

Sincerely,

Darwin M. Bayston, CFA
President & CEO, LISA

Michael Kreiter
Director of Legislative & Regulatory Affairs, LISA

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9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

OR

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

OR

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Life Insurance Settlement Association (LISA) is the national trade association representing the life settlement market. This trip is for an annual conference aimed to educate institutional investors and industry professionals in the life settlement market.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

LISA's mission is to advance the highest standards of practice and professional development for the industry, and to educate consumers, advisors and market participants about life settlements. This conference will provide such education to market participants and advisors.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

LISA has sponsored Senator Bob Casey (D-PA) in 2011 and 2014, Rep. Alan Grayson (D-FL) in 2010, and Rep. Kendrick Meek (D-FL) in 2010.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

LISA hosts a number of educational conferences throughout the year. LISA also hosts monthly conference calls for its members and educational webinars from time to time. LISA also publishes educational information and brochures for consumers and advisors on its website at www.lisa.org.

16. Total Expenses for Each Participant:

| | Transportation Expenses | Lodging Expenses | Meal Expenses | Other Expenses |
|--|-------------------------|------------------|---------------|----------------|
| <input type="checkbox"/> Good Faith estimate <input checked="" type="checkbox"/> Actual Amounts | \$236 | \$196.28 | \$41 | |

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

a) The trip involves an event that is arranged or organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

This is an annual, recurring event in NYC. Most of our attendees are in the New York area.

19. Name and location of hotel or other lodging facility:

Ritz-Carlton New York, Battery Park, 2 West Street, New York, New York, 10004

20. Reason(s) for selecting hotel or other lodging facility:

The event space and hotel room rates are reasonably priced, comparable to other hotels in the area.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses, mostly with respect to the lodging, are above the per diem rates for Federal

Government travel. The hotel room rates were previously agreed upon in our contract with the hotel and

are comparable to other hotels in Lower Manhattan

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Amtrak/Acela - Coach

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: Michael Kreiter on behalf of LISA



Name and Title: Michael Kreiter, Director of Legislative & Regulatory Affairs

Name of Organization: Life Insurance Settlement Association

Address: 280 W. Canton Ave., Suite 430, Winter Park, FL 32789

Telephone Number: 407-894-3797

Fax Number: N/A

E-mail Address: mkreiter@lisa.org

Guest Speaker at Life Insurance Settlement Association (LISA) 7th Annual Life Settlement Institutional Investor Conference – Ritz Carlton, Battery Park, New York City, NY

3:25 PM ET - Depart Union Station, Washington, DC

Monday, January 30, 2017

8:00 AM – 9:00 AM – Attend Complimentary Conference Breakfast for All Attendees.

9:15 AM – 10:15 AM – Mr. Rutledge will present as guest speaker at LISA's Conference, with Darwin Bayston, LISA President and CEO, serving as moderator.

12:00 PM ET – Depart Penn Station, New York, NY

2:53 PM ET – Arrive Union Station, Washington, DC

SECRET



280 West Canton Avenue, TEL: 407.894.3797
Suite 430 FAX: 407.835.0007
Winter Park, Florida 32801 WEB: www.lisa.org

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Life Insurance Settlement Association 7th Annual Life Settlement Institutional Investor Conference
New York, NY | January 30, 2017

- Preston Rutledge, Tax and Benefits Counsel, Senate Finance Committee

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Michael Kreiter

From: etickets@amtrak.com
Sent: Tuesday, January 10, 2017 1:10 PM
To: Michael Kreiter; PRESTON_RUTLEDGE@FINANCE.SENATE.GOV
Subject: Amtrak: eTicket and Receipt for Your 01/29/2017 Trip - PRESTON RUTLEDGE
Attachments: Rutledge Preston 201701101309380513.pdf

SALES RECEIPT



Purchased: 12/22/2016 10:06 AM PT Modified: 01/10/2017 10:09 AM PT Thank you for your purchase.

1. Retain this receipt for your records.
2. Print the attached eTicket and carry during your trip.

Merchant ID [REDACTED] Massachusetts Avenue Washington, DC 20002 800-USA-RAIL Amtrak.com

Reservation Number - [REDACTED] WASHINGTON, DC - NEW YORK PENN, NY (Round-Trip) DECEMBER 22, 2016
Billing Information

| | |
|--|--|
| MICHAEL KREITER 280 W. CANTON AVESUITE 430 WINTER PARK, FL 32789 | |
| MasterCard ending in 1063 (Purchase) Authorization Code 02521J | |
| Total \$27 | |

Change Summary - Ticket Number 0103235040278

| | |
|---|-----------------|
| Original Amount Paid | |
| | \$209.00 |
| Revised Trip Details Train 132: WASHINGTON, DC - NEW YORK, NY Depart 3:25 PM, Sunday, January 29, 2017 | |
| 1 RESERVED COACH SEAT | |
| | \$116.00 |
| | Subtotal |
| | \$116.00 |

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Train 2117: NEW YORK, NY - WASHINGTON, DC Depart 12:00 PM, Monday,
January 30, 2017
1 ACELA EXPRESS BUSINESS CL SEAT

\$120.00

Ticket Terms & Conditions NOT VALID ON OTHER DATES/TRAINS NON-REFUNDABLE,
EXCHANGES PERMITTED FOR OTHER RAIL FARE PURCHASES

Subtotal

\$120.00

Revised Fare

\$236.00

Total

\$27.00

Passengers

Preston Rutledge

Important Information

Tickets are non-transferable.

Changes to your itinerary may affect your fare.

- Refund and exchange restrictions and penalties for failure to cancel unwanted travel may apply. If your travel plans change, call us before departure to change your reservation. If you do not board your train, your entire reservation from that point will be canceled. If you board a different train without notifying us, you will have to pay for it separately; the conductor cannot apply the money paid for your prior reservation. For most *Acela Express* Business class reservations and Reserved Coach class reservations, you must cancel your reservation at least 48 hours prior to the train's departure in order to be eligible for a full refund. If the reservation is not canceled prior to scheduled departure ("no show"), the entire amount paid for the reservation will be forfeited. See the refund/exchange policy at Amtrak.com/refund.
- Summary of Conditions of Contract: Ticket valid for carriage or refund (subject to the refund rules of the fare purchased) for twelve months after day of issue unless otherwise specified. Amtrak tickets may only be sold or issued by Amtrak or an authorized travel agent/tour operator. Tickets sold or issued by an unauthorized third party will be voided by Amtrak. This ticket is a contract of carriage between Amtrak and the ticket holder, which is subject to specific terms and conditions, which are available for inspection at Amtrak ticket counters, on the Amtrak website at Amtrak.com/conditionsofcontract, or by calling 1-800-USA-RAIL. Tickets sold for non-Amtrak service are subject to the tariffs of the providing carrier.

Questions? Contact us online at Amtrak.com/contact or call 1-800-USA-RAIL (1-800-872-7245) or for text telephone (TTY) 1-800-523-6590.

The Ritz-Carlton New York, Battery Park
RETAIL OUTLET CHECK DETAIL

7TH ANNUAL INSTITUTIONAL INVESTOR CONF
7TH ANNUAL INSTITUTIONAL
Event Dates 01/24/2017 to 02/14/2017
Invoice Number 73R65088123

& & & 403 & & &
RITZ CARLTON BATTERY PARK
2 WEST ****

3

CHK 1745 TEL 34/1
GST 3
30 JAN'17 7:58 AM

| | |
|--------------------|---------|
| 1 AMERICAN | 29.00 |
| 1 AMERICAN | 29.00 |
| 1 YOGURT & GRANOLA | 12.00 |
| 1 COFFEE | 6.00 |
| 1 JUICE ORANGE | 8.00 |
| Subtotal: | \$84.00 |
| | \$18.00 |
| Tax: | \$7.46 |

Total: \$109.46

Change Due \$0.00

CHARGE TIP (\$) (\$18.00)

ROOM/ACCT CHG \$109.46

717/Bayston

----- Check Closed -----

30 JAN'17 8:37 AM

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SECRETARY OF THE SENATE

17 FEB 27 PM 2:49

Re: Private Sponsor Travel to the Life Insurance Settlement Association Conference

Attached please find the following Post-Travel documentation:

- **Form RE-1.**
- **Form RE-2**
- **Private sponsor invitation letter.**
- **Completed and signed Private Sponsor Travel Certification Form (Final).**
- **Attachments.**
 - **Complete and Final Itinerary.**
 - **List of Senate Invitees.**
 - **Receipts for Transportation, Lodging and Meals.**

If you have any questions I can be reached , or at preston_rutledge@finance.senate.gov.

Preston Rutledge
Senate Finance Committee
Tax and Benefits Counsel

[illegible]